



Redlined BSCP27 for CP1463 'Process for ELEXON to calculate category 1 non-compliance materiality'

This CP proposes changes to BSCP27 sections 3.6 and 4.1.8. It also proposes to add a new section 3.6A.

We have redlined these changes against Version 15.0.

Amend section 3.6 as follows:

3.6 Category 1, 2 and CDCC Non-Compliance

REF	WHEN	ACTION	FROM	TO	INFORMATION REQUIRED	METHOD
3.6.1	Within 2 WD of completion of an Inspection Visit (3.5.6)	Notify the Registrant, MOA, and HHDC/CDCA of relevant of non-compliance. If the visit was a targeted visit, <u>or a Category 1 Non Compliance has been identified</u> , notify BSCCo.	TAA	Registrant, MOA, HHDC, CDCA; BSCCo (for targeted visit <u>and Category 1 Non Compliances</u> only)	Specific details of non-compliance (Completed and signed Inspection Schedule).	Online database or other agreed method
3.6.1A	Within 1 WD of 3.6.1	Notify NC and Category 1 Non Compliances	BSCCo	EMR Settlement Services provider	Details of the non-compliance including the MSID relating to the applicable SVA or CVA Metering System and the nature of the non-compliance.	Email or other agreed format
3.6.2	Within 2 WD of performing Consumption Data Comparison Check (3.5.9)	Notify the Registrant, and CDCA/HHDC of non-compliance. If relevant notify the MOA. If the visit was a targeted visit notify BSCCo.	TAA	Registrant, and HHDC/CDCA; MOA (if appropriate); BSCCo (for targeted visit only)	Specific details of non-compliance (Completed and signed Inspection Schedule).	Online database or other agreed method
3.6.3	Within 1 WD of receiving notification in 3.6.1 and 3.6.2.	Inform the TAA that a non-compliance notification has been received.	Registrant	TAA	Acknowledgement.	Online database or other agreed method

REF	WHEN	ACTION	FROM	TO	INFORMATION REQUIRED	METHOD
3.6.4	Within 10 WD of receiving a non-compliance notification in 3.6.1 & 3.6.2.	(a) Where the Registrant, MOA, HHDC, CDCA agrees that Metering System is non-compliant; provide a rectification plan to the TAA or rectify the Metering System defects ¹ and inform the TAA of action taken. Proceed to Section 3.6.7.	Registrant, MOA, HHDC, CDCA,	TAA	Details of the Rectification action taken, or a rectification plan (Appendix 4.5.5 - BSCP27/05).	Online database or other agreed method
	Or following 3.6.6.	(b) Where the Registrant or MOA disagrees with the non-compliances raised; inform the TAA and follow the query process set out in 3.7.	Registrant, MOA	TAA	Non-compliance appealed and reason for disagreement	Online database or other agreed method
3.6.5	On 11 th WD after notifying a Registrant of non-compliance	Where a query or appeal has <i>not</i> been received, and no rectification details or plan are received from the Registrant, MOA, or HHDC/CDCA, send a reminder to the Registrant, MOA, and HHDC/CDCA, requesting a rectification plan or details of the rectification action taken.	TAA	Registrant, MOA or HHDC/CDCA	Details of non-compliance and request for rectification plan or details of the rectification action taken.	Online database or other agreed method
3.6.6	Within 1 WD of receiving the reminder detailed in 3.6.5.	Inform the TAA that a reminder notification has been received and proceed to 3.6.4.	Registrant, MOA and HHDC/CDCA	TAA	Acknowledgement of reminder notification.	Online database or other agreed method
3.6.7	Within 3 WD of 3.6.6	Where no rectification plan or details of the rectification action taken are received, the TAA will report the instances to BSCCo.	TAA	BSCCo		Email or as agreed
		(a) The TAA will inform the Registrant, MOA, and HHDC/CDCA that they have failed to provide adequate rectification details and have been reported to BSCCo.	TAA	Registrant, MOA or HHDC/CDCA		
		(b) BSCCo will confirm to the Registrant that it is investigating the issue ² .	BSCCo	Registrant		

¹ It should be noted that it is the responsibility of the Registrant to progress any non-compliances associated with a Settlement Metering System. However, the MOA can progress the rectification of a non-compliance at the request of the Registrant and will follow the actions of the Registrant detailed in this process.

² This may result in escalation to the PAB.

REF	WHEN	ACTION	FROM	TO	INFORMATION REQUIRED	METHOD
3.6.8	Within 2 WD of 3.6.4a or following 3.6.9a	<p>Analyse the Information provided.</p> <p>(a) Where the Registrant, MOA or HHDC/CDCA has provided adequate information to show the rectification action is complete, close the non-compliance and notify the Registrant. MOA and HHDC/CDCA. Process ends.</p> <p>(b) Where the Registrant, MOA or HHDC/CDCA does not provide adequate information to show the rectification action is complete; or a complete rectification plan, notify the Registrant, MOA, and HHDC/CDCA with the reasons and proceed to 3.6.3.</p> <p>(c) Where the Registrant, MOA or HHDC/CDCA provides an adequate rectification plan, notify the Registrant and MOA and HHDC/CDCA that the plan is satisfactory.</p>	TAA	<p>Registrant, MOA and HHDC/CDCA</p> <p>Registrant, MOA and HHDC/CDCA</p> <p>Registrant, MOA and HHDC/CDCA</p>		<p>Internal process</p> <p>Online database or other agreed method</p> <p>Online database or other agreed method</p> <p>Online database or other agreed method</p>
3.6.9	As appropriate for the milestones in the rectification plan.	<p>Monitor completion of milestones in the rectification plan.</p> <p>Analyse the information required.</p> <p>(a) Where the milestones have been met provide confirmation to the Registrant, MOA, HHDC/CDCA, and BSCCo.</p> <p>If the final milestone has been met proceed to 3.6.8a.</p> <p>(b) Where the milestones have not been met or no information is received regarding the completion of milestones from the Registrant, MOA or HHDC/CDCA, Go to 3.6.7.</p>	<p>TAA</p> <p>TAA</p>	<p>Registrant, MOA, HHDC/CDCA and BSCCo</p>		<p>Internal process</p> <p>Online database or other agreed method</p> <p>Online database or other agreed method</p>

Add new Table 3.6A

3.6A Category 1 Non-Compliance Materiality Calculation

<u>REF</u>	<u>WHEN</u>	<u>ACTION</u>	<u>FROM</u>	<u>TO</u>	<u>INFORMATION REQUIRED</u>	<u>METHOD</u>
<u>3.6A.1</u>	<u>Within 2 WD of 3.6.1 and where a Category 1 Non Compliance has been identified</u>	<u>Request HH consumption data held by the HHDC/CDCA.</u>	<u>BSCCo</u>	<u>HHDC/CDCA</u>	<u>HH consumption data for the period affected by Category 1 Non Compliance.</u>	<u>Email or other agreed format</u>
<u>3.6A.2</u>	<u>Within 5 WD of 3.6A.1</u>	<u>Provide HH consumption data requested in 3.6A.1</u>	<u>HHDC, CDCA</u>	<u>BSCCo</u>	<u>HH consumption data for the period affected by Category 1 Non Compliance.</u>	<u>Email or other agreed format</u>
<u>3.6A.3</u>	<u>Within 2 WD of 3.6A.2</u>	<u>Perform estimated metered net error materiality calculation.</u>	<u>BSCCo</u>		<u>Estimated metered net error materiality.</u>	<u>Online database or other agreed method</u>

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Amend section 4.1.8 as follows:

4.1.7 Quality of Installation

All points to be checked as specified in the applicable CoP, including:

- (a) Labelling of equipment.
- (b) General standard of installation i.e. good working practice.

4.1.8 The TAA will perform an estimated metered error calculation, to be included in the Annual Report to the PAB, to provide an indication of the impact of errors on Settlement, in particular the impact that category 1 non-compliances may be having, this will mean that the TAA will need to record the estimated materiality for all category 1 non-compliances.

This estimated net error calculation shall take the following format:

(a) Calculate the percentage error.

(b) Using the percentage error, calculate what would have been in Settlement if the error had not occurred.

(c) Calculate the difference between what should have been in Settlement and what is actually in Settlement to provide a materiality in MWh.

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